

NITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS United States Patent and Trademark Office Washington, D.C. 20231

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APPLICATION NUMBER

FILING DATE

GRP ART UNIT

FIL FEE REC'D ATTY DOCKET NO DRAWINGS

TOT CLAIMS IND CLAIMS

10/001.237

10/30/2001

2167

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00-1153-A

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CONFIRMATION NO. 9272

FILING RECEIPT

OC000000007237115

Robert J. Irvine McDonnell Boehnen Hulbert & Berghoff 32nd Floor 300 S. Wacker Drive Chicago, IL 60606

Date Mailed: 12/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Neal Brady, Evanston, IL; Noah Carey, Evanston, IL; Bill Erwin, Chicago, IL; EVANSTON, IL, John Gilmore, Burr Ridge, IL; Michael Quattrocki, Chicago, IL: Frank Stone, Chicago, IL: Mark Thomburgh, West Chicago, IL;

DOCKETED

JAN 07 2002 113002

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/244,311 10/30/2000

Foreign Applications

If Required, Foreign Filing License Granted 12/20/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE COPY OF PAPERS (Case No. 00-1153-A) ORIGINALLY FILED the Application of: Brady et al. Examiner: To be assigned Serial No.: 10/001,237 Group Art Unit: 2167 Filing Date: October 30, 2001 **Network and Method for Trading Derivatives**

TRANSMITTAL LETTER

Commissioner for Patents Washington, D.C. 20231

Attention: Application Processing Division **Customer Correction Branch**

Dear Sir:

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In regard to the above identified application,

- 1. We are transmitting herewith the attached:
 - Request for Corrected Filing Receipt; a)
 - photocopy of Filing Receipt with changes noted thereon; and b)
 - c) postcard.
- 2. With respect to fees:
 - No fee is required. a)
 - Please charge any underpayment or credit any overpayment our Deposit Account, b) No. 13-2490.
- 3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on January 11, 2002.

Respectfully submitted,

Registration No. 41,865

MCDONNELL BOEHNEN HULBERT & BERGHOFF 300 SOUTH WACKER DRIVE 32ND FLOOR CHICAGO, ILLINOIS 60606 (312) 913-0001

Date: January 11, 2002



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PATENT

PH 10/31/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 00-1153-A)

| In the Application of: |) |
|---|------------------------------|
| Brady et al. |) |
| Serial No.: 10/001,237 |) Examiner: To be assigned) |
| Filing Date: October 30, 2001 |) Group Art Unit: 2167 |
| For: Network and Method for Trading Derivatives |) |

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents Washington, D.C. 20231

Attention: Application Processing Division

Customer Correction Branch

Dear Sir:

Attached is a copy of the official filing receipt received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested. Please correct the Filing Receipt accordingly. The correction is not due to any error by applicant and no fee is due.

Incorrect Priority Information

Applicant(s):

Neal Brady, Evanston, IL; Noah Carey, Evanston, IL; Bill Erwin, Chicago, IL; John Gilmore, Burr Ridge, IL; Michael Quattrocki, Chicago, IL; Frank Stone, Chicago, IL; Mark Thornburgh, West Chicago, IL;

Correct Priority Information

Neal Brady, Evanston, IL; Noah Carey, Evanston, IL; Bill Erwin, Evanston, IL; John Gilmore, Burr Ridge, IL; Michael Quattrocki, Chicago, IL; Frank Stone, Chicago, IL; Mark Thornburgh, West Chicago, IL;

Respectfully submitted,

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